



Schaefer Financial  
MANAGEMENT

## Financial Planning Questionnaire

Date: \_\_\_\_\_

In order to effectively advise you on your financial decisions, we need complete information about your financial situation. The first step in the financial planning process is data collection. If you are uncertain of the answer to a question, provide your best estimate and include a note about the circumstances at the end of the questionnaire.

*Confidentiality and protection of your personal information is of the highest importance to Schaefer Financial Management, Inc. We will not disclose any information about you to anyone-including your employer, accountant, attorney, or family members – without your permission.*

### Contact & Personal Information

	Client 1	Client 2
Full name(s)		
Street Address		
City, State, Zip		
Telephone - Primary		
Telephone - Secondary		
E-mail Address		
Preferred Choice of Contact		
Date of Birth		
Marital Status		

### Employment

Occupation / Job Title		
Self-Employed (yes/no)		
Employer Name		
Employer Address		

### Children / Dependent(s)

Full Name	
Date of Birth	
Relationship	

Full Name	
Date of Birth	
Relationship	

## Children / Dependent(s) cont.

Full Name	
Date of Birth	
Relationship	

Full Name	
Date of Birth	
Relationship	

## Retirement Planning

What age do you hope to retire?		
What are your expected annual budget needs in retirement?		
How much do you contribute to your retirement plans?		

## Current Income

Gross Annual Income (salary/bonus/commissions)		
Are you considering a career change?		
Do you expect any major changes in your income during the next 3 years?		

## Expenses

If you need assistance with a budget, please download the monthly budget spreadsheet from our website ([www.schaeferfinancial.com](http://www.schaeferfinancial.com))

Monthly Expenses (Household Budget)		
Education Expenses		
Other Expenses (Outside of Listed Liabilities)		

## Investments

IRA Account Balance		
Roth IRA Balance		
Retirement Plan Balance (401k, 403b, Simple IRA, ect)		

Investments continued		
529 Plan Account Balance		
Investment / Brokerage / Non-Retirement Account Balance		
Checking / Savings Account Balances		
Life Insurance Cash Value Balance		

Real Estate		
	Fair Market Value	Outstanding Mortgage
Primary Residence		
Vacation Property		
Investment/Rental Property		

Liabilities		
Other Loan Balance (heloc, auto, credit cards)		
Education Loan Balances		

Financial Concerns			
	Cash Flow and Budgeting		Retirement Planning
	Investment Review		Estate Planning
	Tax Planning		Insurance Review
	College Planning		Real Estate Purchase
	Other:		

When you think about achieving financial security, what do you envision? A steady income, a secure nest egg, no liabilities? What does financial security mean to you?

Bucket List (Please list a few of your non-financial goals, as they may be related to your financial plan).

Assume that you are in your current financial situation, you get a call from your doctor telling you that you only have five to ten years to live, but you will continue to feel fine up until the end. Would you change your life, and if so, what changes would you make?

If the Doctor tells you that you have just one day to live. You look back at your life. What did you miss out on? What did you fail to do? What do you wish you could have accomplished?

Other notes or comments?